informatech



GOVERNMENT AND PUBLIC SECTOR | GPS-009

Governance of Regulators

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Course content

Why Attend

Governance is a critical topic that has been attracting serious attention for two decades in business schools and among legislatures, with this trend only increasing. While there are different types of regulators, they all aim to govern and deal with stakeholders. This course covers regulators' independence, decision-making, accountability, inspection and audit, and monitoring and enforcing regulations. It also explains the different types of regulators and different regulators' structures and authorities. Other topics discussed are the role of regulators and the required transparency.

The course is built on presentations by the instructor and the participants and includes exercises and case studies to be discussed during the course.

By the end of the course, participants will be able to:

• Explain the different types of regulators and the regulators' principles

• Examine the independence of different regulators

• Appraise decision-making and accountability practices by regulators

• Evaluate transparency and efficiency of regulators

• Support monitoring and enforcement of regulations

Board members, chief financial officers, senior management, directors, finance managers, financial controllers, accounting and finance personnel, legal counsel, corporate legal advisors, corporate secretaries, lawyers, external and internal auditors, HR managers, and department heads. All employees and managers of regulators like capital market authorities, central banks and other governmental and non-governmental authorities and agencies.

• Structuring of regulators

• Analyzing regulator efficiency

• Assessing decision-making

• Defending the regulator's point of view

• Explaining the role of regulator

• Evaluating regulator independence



Course content

Why Attend

• Identifying stakeholders' rights

Course outline

Regulators' Types and Principles

• Regulators' types

• International bodies

• Ministries

• Authorities and agencies

• Self-regulatory organizations

• Regulators' principles

• Independence

• Accountability

• Transparency

Regulators' Independence

• Regulators' funding

• Regulators' independence

• Special interest groups

• Semi-governmental regulator

• Self-regulatory organizations

• Decreasing the influence of special interest groups

• Maintaining trust with stakeholders



Course content

Course outline

Decision-Making and Accountability

- Decision-making
- Regulator structure
- Authority and decisions
- Accountability
- Engaging stakeholders in decisions
- Engaging related authorities
- Engaging stakeholders

Transparency and Evaluation

- Transparency required
- Governmental or semi-governmental regulators
- Nongovernmental regulators
- Evaluation of efficiency
- Financial performance
- Nonfinancial performance

Monitoring and Enforcement of Regulations

- Monitoring regulated entities
- Monitoring implementation of requirements
- Monitoring operational and financial results
- Monitoring whistle-blowing and complaints
- Auditing and inspecting



Course content

Course outline

- Deviations
- Whistleblower information
- Enforcement of regulations



Seminar dates

Available seminar dates

Live dates and pricing for Governance of Regulators generated from the course details page.

Date	Location	Format	Fee
16 - 20 November 2026	Rome - Italy	Classroom	**€3,400.-**
7 - 11 December 2026	Kuala lumpur - Malaysia	Classroom	**€1,800.-**
11 - 15 January 2027	Barcelona - Spain	Classroom	**€3,080.-**
8 - 12 February 2027	Cape Town - South Africa	Classroom	**€2,272.-**
8 - 12 March 2027	Frankfurt - Germany	Classroom	**€2,600.-**
12 - 16 April 2027	Barcelona - Spain	Classroom	**€3,080.-**
10 - 14 May 2027	Frankfurt - Germany	Classroom	**€2,600.-**
14 - 18 June 2027	Rome - Italy	Classroom	**€3,400.-**
12 - 16 July 2027	Kuala lumpur - Malaysia	Classroom	**€1,800.-**
9 - 13 August 2027	Barcelona - Spain	Classroom	**€3,080.-**
13 - 17 September 2027	Paris - France	Classroom	**€3,600.-**
11 - 15 October 2027	Frankfurt - Germany	Classroom	**€2,600.-**
8 - 12 November 2027	Barcelona - Spain	Classroom	**€3,080.-**
13 - 17 December 2027	Frankfurt - Germany	Classroom	**€2,600.-**

Live online option	Online delivery is available at €1,850.-.